

SCHEDULE A

Brokered Deposits in Closed Banks
January 1, 1982 to July 12, 1985

(1) Metropolitan Bank and Trust Company, Tampa, Florida (2-6-82):
\$13,515M (7%) - Credit Unions

First United Fund, Ltd., Garden City, N.Y.

(2) Aquia Bank and Trust, Stafford, Virginia (4-3-82):
\$3,136M - Bureau of Indian Affairs
500M - Military Funds (Air Force Central)
\$3,636M (29%)

FAIC Securities, Miami, Florida

(3) National Security Bank, Tyler, Texas (4-16-82):
\$100M - Military Funds (U.S. Army)
200M - Dept. of Energy
\$300M (3%)

Brokers unknown

(4) Pacific Coast Bank, San Diego, California (4-29-82):
\$1,800M - Military Funds (Air Force Central, Marine Corps)
800M - Political Subdivisions
400M - Credit Unions
1,400M - Others
\$4,400M (47%)

Century Hill Financial, Century City, CA
First United Fund, Ltd., N.Y.

(5) Citizens Bank, Tillar, Arkansas (6-23-82):
\$522M (9%) - Bureau of Indian Affairs

Brokers unknown

(6) Penn Square Bank, N.A., Oklahoma City, Oklahoma (7-5-82):
\$ 6,512M - Bureau of Indian Affairs
13,042M - Banks
12,951M - Savings and Loans
81,932M - Credit Unions
10,238M - Others
\$124,675M (26%)

First United Fund, Ltd., N.Y.
Norman Werbner Associates, Houston, TX
Professional Asset Management, Del Mar, CA
Sun Capital Management, La Jolla, CA

(7) Western National Bank, Santa Ana, California (8-27-82):
\$4,100M - Credit Unions
1,100M - Savings and Loans
1,900M - Political Subdivisions
200M - Military Funds (Marine Corp, Air Force)
1,000M - Others
\$8,300M (40%)

Brokers unknown

(8) Hohenwald Bank and Trust Company, Hohenwald, Tennessee (9-3-82):
\$200M (1%) - Military Funds (Air Force Central, Marine Corps)

Brokers unknown

(9) Tri-State Bank, Markham, Illinois (9-8-82):
\$400M - Military Funds (Air Force Central, Marine Corps, Army)
100M - Credit Union
100M - Federal Milk Market Administration
\$600M (4%)

Brokers unknown

(10) State Bank of Barnum, Barnum, Minnesota (2-9-83):
\$4,432M - Bureau of Indian Affairs
1,068M - Military Funds (Marine Corps, Air Force)
\$5,500M (40%)

Commonwealth Capital Corp., Charlottesville, VA

(11) American City Bank, Los Angeles, California (2-25-83):
\$37,500M - Credit Unions
9,400M - Banks
7,900M - Savings and Loans
27,350M - Others
\$82,150M (32%)

Professional Asset Securities, Del Mar, CA

(12) Newport Harbour National Bank, Newport Beach, California (3-11-83):
\$16,600M - Credit Unions
4,800M - Banks
5,700M - Savings and Loans
3,400M - Political Subdivisions
255M - Bureau of Indian Affairs
3,201M - Others
\$33,956M (75%)

Century Hill Financial, CA
Courtesy Deposits, Inc., Southfield, MI
Golconda Financial, San Marino, CA
Duncan Williams Government Securities Corp., Memphis, TN

E.R. Weston & Associates, Rolling Hills, CA
First United Fund, Ltd., N.Y.
International Investment Referral Service, Costa Mesa, CA
Kominz Company, Marina Del Rey, CA
National Money Market Services, Santa Ana, CA

(13) Pan American National Bank, Union City, New Jersey (3-18-83):
\$4,806M - Bureau of Indian Affairs
1,200M - Credit Unions
600M - Banks
300M - Savings and Loans
100M - Other
\$7,006M (21%)

Brokers unknown

(14) Bear Creek Valley Bank, Phoenix, Oregon (3-25-83):
\$2,454M - Bureau of Indian Affairs
1,800M - Military Funds (U.S. Navy, Marine Corp. A.F.)
1,000M - Credit Unions
300M - Savings and Loans
200M - Banks
200M - Others
\$5,945M (49%)

Bristol Investment Group, Inc., Coral Gables, FL
E.R. Weston & Associates, CA
First United Fund, Ltd, N.Y.

(15) Bank of San Marino, San Marino, California (4-8-83):
\$1,100M - Credit Unions
900M - Political Subdivisions
400M - Banks
500M - Others
\$2,900M (23%)

Century Hill Financial, CA

(16) Sparta-Sanders State Bank, Sparta, Kentucky (4-15-83):
\$ 3,100M - Credit Unions
2,750M - Military Funds
1,947M - Bureau of Indian Affairs
1,400M - Banks
900M - Savings and Loans
2,346M - Others
\$12,443M (76%)

Brokers unknown

(17) Heritage Bank, Ashland, Oregon (4-29-83):
\$400M - Credit Unions
100M - Bank
100M- Savings and Loan
\$600M (4%)

E.R. Weston & Associates, CA
FAIC Securities, FL
Golconda Financial, CA

(18) United American Bank in Hamilton County, Chattanooga, Tennessee (5-27-83):
\$ 2,500M - Banks
700M - Savings and Loans
6,900M - Credit Unions
\$10,100M (8%)

Brokers unknown

(19) City and County Bank of Roane County, Kingston, Tennessee (5-27-83):
\$ 180M - Bureau of Indian Affairs
300M - Banks
700M - Credit Unions
100M - Savings and Loan
\$1,280M (3%)

Brokers unknown

(20) City and County Bank of Anderson County, Lake City, Tennessee (5-27-83):
\$ 180M - Bureau of Indian Affairs
1,700M - Banks
3,000M - Savings and Loans
14,800M - Credit Unions
300M - Others
\$19,980M (23%)

Brokers unknown

(21) United Southern Bank of Nashville, Tennessee (5-27-83):
\$ 6,355M - Bureau of Indian Affairs
3,100M - Banks
18,800M - Credit Unions
4,700M - Savings and Loans
3,000M - Others
\$35,955M (36%)

FAIC Securities, FL

(22) City and County Bank of Knox County, Knoxville, Tennessee (5-27-83):
\$ 6,221M - Bureau of Indian Affairs
7,900M - Banks
51,000M - Credit Unions
13,600M - Savings and Loans
8,009M - Others
\$86,730M (48%)

Brokers unknown

(23) The Commercial Bank of California, Los Angeles, California (5-27-83):
\$6,000M - Bureau of Indian Affairs
300M - Credit Unions
\$6,300M (23%)

National Money Markets Services, CA

(24) Community Bank, Hartford, South Dakota (6-17-83):
\$10,400M (27%) - Institutional Investors (Credit Unions, Banks and Savings and Loans)

First United Fund, Ltd., N.Y.

(25) Western National Bank of Lovell, Lovell, Wyoming (6-24-83):
\$12,600M (64%) - Institutional Investors

First United Fund, Ltd, N.Y.

(26) Mineral Bank of Nevada, Las Vegas, Nevada (6-30-83):
\$1,650M - Bureau of Indian Affairs
900M - Military Funds
600M - Banks
300M - Savings and Loans
1,100M - Others
\$4,550M (41%)

Commonwealth Capital Corp., VA

(27) The First Central Bank, Smithville, Tennessee (7-8-83):
\$600M (3%) - Military Funds

Brokers unknown

(28) First Peoples Bank of Washington County, Johnson City, Tennessee (7-29-83):
\$ 5,818M - Bureau of Indian Affairs
50,470M - Institutional Investors
\$56,288M (33%)

FAIC Securities, FL

(29) First Commerce Bank of Hawkins County, Rogersville, Tennessee (8-12-83):
\$ 180M - Bureau of Indian Affairs
6,400M - Credit Unions
\$6,580M (14%)

Brokers unknown

(30) United Southern Bank of Clarksville, Clarksville, Tennessee (8-26-83):
\$3,156M - Bureau of Indian Affairs
400M - Others
\$3,556M (33%)

Brokers unknown

(31) Douglas State Bank, Kansas City, Kansas (9-2-83):
\$1,800M - Credit Unions
700M - Savings and Loans
\$2,500M (11%)

Brokers unknown

(32) Warren County Bank, McMinnville, Tennessee (9-16-83):
\$2,283M - Bureau of Indian Affairs
200M - Military Funds
2,100M - Credit Unions
\$4,583M (24%)

Brokers unknown

(33) Dominion Bank of Denver, Denver, Colorado (9-30-83):
\$ 500M - Banks
5,262M - Others
\$5,762M (45%)

Brokers unknown

(34) National Bank of Odessa, Odessa, Texas (9-30-83):
\$2,500M (3%) - Credit Unions

Brokers unknown

(35) The Deschutes Bank, Remond, Oregon (10-7-83):
\$ 200M - Banks
700M - Savings and Loans
500M - Credit Unions
\$1,400M (14%)

Bristol Investment Group, Inc., FL
First Empire Funding Corp., N.Y.

(36) First National Bank of Midland, Texas (10-14-93):
\$75,672M (13%)

J.M. Lummus & Co.
Merrill Lynch Money Market, Inc.
Narragansett Venture Capital

(37) First National Bank of Browning, Browning, Montana (11-10-83):
\$1,300M - Banks
1,200M - Savings and Loans
1,400M - Credit Unions
100M - Other
\$4,000M (30%)

First United Fund, Ltd., N.Y.
John Brittenum & Associates, Inc., Little Rock, AR

(38) Atkinson Trust and Savings Bank, Atkinson, Illinois (11-22-83):
\$3,356M (18%)
First United Fund, Ltd., N.Y.

(39) City & County Bank of Jefferson County, White Pine, Tennessee (1-21-84):
\$180M - Bureau of Indian Affairs
100M - Savings and Loan
300M - Banks
\$580M (3%)
Brokers unknown

(40) Indian Springs State Bank, Kansas City, Kansas (1-28-84):
\$9,300M (34%)
Caray Investment Company, Inc. CA
First United Fund, Ltd., N.Y.
International Investment Referral Services, CA
Kominz Co., CA
National Money Market Services, CA

(41) Emerald Empire Banking Company, Springfield, Oregon (2-3-84):
\$ 2,000M - Banks
1,800M - Savings and Loans
6,800M - Credit Unions
300M - Others
\$11,000M (53%)
Caray Investment Company, Inc., CA
First Empire Funding Corp., N.Y.
First United Fund, Ltd., N.Y.
Long Beach Savings and Loan Association, CA
National Money Market Services, Inc.

(42) Tucker County Bank, Parsons, West Virginia (2-3-84):
\$2,100M - Military Funds (Air Force Central, Marine Corps, Coast Guard)
200M - Savings and Loans
100M - Credit Union
1,057M - Bureau of Indian Affairs
100M - Other
\$3,557M (24%)
Monetary Investment Co., Sioux Falls, S.D.

(43) United Bank of Oregon, Milwaukie, Oregon (3-2-84):
\$ 200M - Savings and Loans
600M - Credit Unions
200M - Banks
550M - Others
\$1,550M (11%)
Independent State Bank of Minnesota, Minneapolis, MN
National Money Market Service, Inc., CA

(44) All American National Bank, Virginia Gardens (P.O. Miami), FL (3-2-84):
\$1,100M - Credit Unions
200M - Banks
500M - Savings and Loans
100M - Municipality
100M - Other
\$2,000M (18%)

FAIC Securities, FL

(45) Seminole State National Bank, Seminole, Texas (3-16-84):
\$200M - Credit Unions
100M - Savings and Loan
\$300M (1%)

FAIC Securities, FL

(46) Heritage Bank, Anaheim, California (3-16-84)
\$40,650M - Credit Unions
11,500M - Savings and Loans
18,200M - Banks
8,000M - Municipalities
5,819M - Bureau of Indian Affairs
14,219M - Others
\$98,388M (64%)

First United Fund, Garden City, NJ
FAIC, Securities, FL
Kominz Company, CA
E.R. Weston & Associates, CA
National Money Market Services, CA
Golconda, CA
Duncan Williams, Government Securities, Corp., TN
First Empire, Funding Corp., NY
Caray Investments, CA
Long Beach Savings and Loan, CA
Bilmar, Upland, CA
Peninsula Savings, San Francisco, CA
TCD National Encino, CA
Bristol Investments, FL
CAL Investment, Costa Mesa, CA
TCS Brokerage, San Diego, CA
International Investments, Irvine, CA
Sun Capital Management, San Diego, CA

(47) Watauga Valley Bank, Elizabethton, Tennessee (4-6-84):
\$1,100M - Credit Unions
300M - Banks
100M - Savings and Loan
188M - Bureau of Indian Affairs
200M - Others
\$1,888M (14%)

FAIC Securities, FL
First United Fund, Ltd., N.Y.

(48) First Security Bank, Erwin, Tennessee (4-6-84):
\$1,796M - Credit Unions
600M - Banks
400M - Savings and Loans
200M - Others
\$2,996M (14%)

First United Fund, Ltd., N.Y.

(49) Security National Bank, Lubbock, Texas (4-13-84):
\$3,397M (8%)

Harvey Baskin & Co., D.C.

(50) West Coast Bank, Los Angeles (Encino), California (4-17-84):
\$19,298M - Banks
15,396M - Savings and Loans
32,792M - Credit Unions
16,625M - Others
\$84,111M (52%)

National Money Market Services, Inc., CA
FAIC Securities, Inc., FL
First United Fund, Ltd., NY
Golconda Financial, CA
Kominz Company, Ltd., CA
High Yield Management, Inc., Clifton, NJ
Caray Investment Company, Inc., CA
Connie Tait Financial Services, Ventura, CA
Federally Insured Savings Network
International Investment Referral Service, CA
Investment Transaction Corporation, Los Altos, CA

(51) United of America Bank, Chicago, Illinois (4-26-84):
\$300M (1%) - Credit Unions

First United Fund, Ltd., N.Y.

(52) First National Bank of Rushford, Rushford, Minnesota (5-4-84):
\$360M (2%) - Banks

Independent State Bank of Minnesota, MN

(53) The National Bank of Carmel, Carmel-BY-The-Sea, California (5-8-84):
\$11,300M - Credit Unions
2,200M - Banks
1,100M - Savings and Loans
14,830M - Others
\$29,430M (39%)

First United Fund, Ltd., NY
National Money Market Services, Irvine, CA
Money Investment Services, CA
Investment Transaction Corporation, CA
FAIC Securities, FL
Connie Tait Financial Services, CA

(54) First Continental Bank & Trust Company of Del City, Del City, Oklahoma (5-11-84)
\$ 4,900M - Banks
12,000M - Credit Unions
2,800M - Savings and Loans
114M - Bureau of Indian Affairs
1,705M - Others
\$21,519M (22%)

FAIC Securities, FL
First United Fund, Ltd., N.Y.
National Money Market Services, Inc., CA
Pacific Money Investments, Inc., Blaine, WA
Professional Asset Management, CA
TCD National, Inc., Encino, CA

(55) Bank of Irvine, Irvine, California (5-18-84):
\$ 700M - Credit Unions
500M - Banks
200M - Others
\$1,400M (5%)

Caray Investments, CA
Bell Savings and Loan Association, L.A., CA
First Empire Funding Corp., N.Y.
FAIC Securities, FL
Golconda Financial, CA

(56) First National Bank of Prior Lake, Prior Lake, Minnesota (5-24-84):
\$ 300M - Banks
1,700M - Credit Unions
300M - Savings and Loans
200M - Others
\$2,500M (19%)

First United Fund, Ltd., N.Y.

(57) Garden Grove Community Bank, Garden Grove, California (6-1-84):
\$ 3,100M - Banks
8,700M - Credit Unions
2,000M - Savings and Loans
469M - Bureau of Indian Affairs
4,200M - Others
\$18,469M (50%)

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FAIC Securities, FL
Caray Investments, CA
Golconda Financial, CA
First United Fund, Ltd., NY
TCD National, CA
California Investment, CA
International Investments, CA
National Money Market, CA
Connie Tait Financial, CA
Evergreen Investments, Newport Beach, CA
Pacific Money Investment, Inc., WA

(58) Republic Bank of Kansas City, Kansas City, Missouri (6-18-84):
\$ 9,476M - Banks
 768M - Savings and Loans
 2,467M - Credit Unions
 1,328M - Bureau of Indian Affairs
 3,854M - Others
\$17,911M (46%)

FAIC Securities, FL
Harvey Baskin & Co., D.C.
Monetary Investments Co., S.D.

(59) American Bank, Saint Joseph, Tennessee (6-27-84):
\$1,700M - Banks & Trust Companies
 300M - Credit Unions
 100M - Other
\$2,100M (7%)

Bradford Trust Co., New York, NY
Standard Investors Trust Co., Houston, TX

(60) Guaranty State Bank of Saint Paul, St. Paul, Minnesota (7-19-84):
\$ 657M - Bureau of Indian Affairs
 700M - Credit Unions
 100M - Savings and Loan
 810M - Others
\$2,267M (8%)

Commonwealth Capital Corp., VA
First United Fund, Ltd., NY
Independent State Bank of Minnesota, MN

(61) Jackson County National Bank, Tuckerman, Arkansas (8-9-84):
\$ 200M - Banks
 200M - Savings and Loans
 800M - Credit Unions
 100M - Other
\$1,300M (10%)

First United Fund, Ltd., N.Y.

(62) Girod Trust Company, Puerto Rico (8-16-84):
\$37,000M (13%)

Dean Witter, New York, NY
E.F. Hutton, New York, NY
Harvey Baskin & Co., D.C.
Merrill Lynch, New York, NY

(63) The First State Bank, Thayer, Kansas (8-22-84):
\$100M - Bank
100M - Savings & Loan
\$200M (2%)

First National Bank of Hutchinson, Hutchinson, KS

(64) Bank of Northwest, Eugene, Oregon (8-31-84):
\$810M (5%)

Independent State Bank of Minnesota, MN

(65) The Rexford State Bank, Rexford, Kansas (10-10-84):
\$1,798M - Credit Unions
200M - Banks
300M - Savings and Loans
100M - Other
\$2,398M (45%)

First United Fund, Ltd., N.Y.

(66) State Bank of Boyd, Boyd, Minnesota (10-24-84):
\$350M (6%)

Independent State Bank of Minnesota, MN

(67) The Strong City State Bank, Strong City, Kansas (11-29-84):
\$98M (2%) - Bank

First Empire Funding Corp., NY

(68) Golden Spike State Bank, Tremonton, Utah (12-4-84):
\$ 92M - Bureau of Indian Affairs
300M - Credit Unions
100M - Savings and Loan
300M - Banks
\$792M (12%)

Commonwealth Capital Corp., VA
FAIC Securities, FL
First Empire Funding Corp., NY
Golconda Financial, CA

(69) The Farmers State Bank, Seldon, Kansas (12-20-84):
\$1,700M - Credit Unions
400M - Banks
800M - Others
\$2,900M (21%)

American Money Market Investment Corp., Irvine, CA
First American Investment Group, Topeka, KS
Financial Northeast Corp., West Caldwell, NJ
Investment Transaction Corp., CA

(70) Citizens Fidelity Bank Bristol, Tennessee (2-1-85):
\$ 98M - Credit Union
98M - Bank
98M - Municipality
\$298M (2%)

First United Fund, Ltd., NY

(71) Peoples Bank and Trust Co., Wartburg, Tennessee (2-8-85):
\$1,500M - Credit Unions
4,400M - Savings and Loans
1,800M - Banks
1,700M - Others
\$6,400M (32%)

Brittenum and Associates, AR
Caray Investment Company, Inc., CA
First Empire Funding Corp., NY
Golconda Financial, CA

(72) West Valley Bank, Woodland Hills, California (2-8-85):
\$ 4,800M - Credit Unions
5,400M - Savings and Loans
4,300M - Banks
3,100M - Others
\$17,600M (53%)

Caray Investment Company, Inc., CA
First Empire Funding Corp., NY
High Yield Management, NJ
Kominz Co., CA
Long Beach Savings and Loan, CA
National Asset Management, NY
National Rate Exchange, San Diego, CA
New Financial Northeast Corp., NJ
Peak Financial
Professional Asset Management, CA
TCD National, Inc., CA

(73) Golden Valley Bank, Turlock, California (3-22-85):
\$ 400M - Credit Unions
600M - Savings and Loans
1,100M - Banks
\$2,100M (3%)

First Empire Funding, Corp., NY

(74) Fidelity Bank of Denver, Denver, Colorado (3-29-85):
\$1,400M - Credit Unions
400M - Savings and Loans
400M - Banks
100M - Municipality
100M - Other
\$2,400M (9%)

First Empire Funding Corp., NY
First United Fund, Ltd., NY

(75) Capistrano National Bank, San Juan Capistrano, California (4-5-85):
\$ 700M - Credit Unions
900M - Savings and Loans
700M - Banks
200M - Others
\$2,500M (6%)

American Money Market Services, Inc., CA
Diversified Financial, Alhambra, CA
First Empire Funding Corp., NY
Pioneer Financial Services, Melville, NY

(76) South Coast Bank, Costa Mesa, California (4-12-85):
\$ 900M - Credit Unions
600M - Savings and Loans
200M - Banks
200M - Municipalities
600M - Others
\$2,500M (9%)

FAIC Securities, FL
First United Fund, Ltd., N.Y.

(77) The Energy Bank, N.A., Dallas, Texas (5-16-85):
\$ 700M - Credit Unions
900M - Savings and Loans
700M - Banks
500M - Others
\$2,800M (12%)

First United Fund, Ltd., NY
Meyers Pollack Robbins, Inc., New York, NY
The Kominz Company, CA

(78) Strong's Bank, Dodgeville, Wisconsin (6-14-85):
\$ 900M - Credit Unions
100M - Savings and Loan
100M - Bank
\$1,100M (4%)

All are believed to have originated through High Yield Management, Inc., Clifton, NJ. Substantial amounts of other brokered funds were apparently diverted and not entered on books.

(79) First City Bank, N.A., Oklahoma City, Oklahoma (6-21-85):
\$5,700M (7%)

First Empire Funding Corp., NY
FAIC Securities, FL
Caray Investment Co., Inc., CA
James Baker and Company, Oklahoma City, OK

(80) Crossroad State Bank, Oklahoma City, Oklahoma (7-11-85):
\$3,500M (21%)

Brokers and financial institutions supplying funds unavailable at this time